

 Total Quality. Assured. Application date: 02.11.2017	GlobalG.A.P. Application for audit	F101-1-GGAP	
		Issue 16	Page 1 of 9

Section 1: Client Information

Details of Legal Entity (the legal entity will be registered in the GlobalG.A.P. database)

Name	Intertek Certification Japan Farm Ltd.		
Address (Street, Postal Code, City, Country)	Nihonbashi N bidg., 2nd Floor, 1-4-2 Horidome-cho Nihonbashi Chuo-ku Tokyo, 103-0012, Japan		
Last Name of contact (legally responsible for the legal unit)	Hanako	First name of contact	Yamada
Title (Mr./Ms.)	Ms	Job Description	President
Address of contact (Street, Postal Code, City, Country; if different from above)			
Further Details	Phone: (81)03-3669-7420	Fax: (81)03-3669-7410	E-Mail: XXXXX@intertek.com
Legal Registration by country (tax number / VAT number / producer number...)	111111123		
GPS data	Lat 40° 75" 941' Long 135° 34" 8707'	GLN (if available):	

Invoice Details (if different from above)

Name			
Address (Street, Postal Code, City, Country)			
Contact			
Further Details	Phone:	Fax:	E-Mail:
Legal Registration by country (tax number / VAT number / producer number...)			

If you are filling this format electronically, please double click on the Yes or No box as appropriate and mark as on select checked.

Section 2: Audit information

Option* <input checked="" type="checkbox"/> 1 – Individual Producer <input type="checkbox"/> 1 – Multisite Certification <input type="checkbox"/> 2 – Group Certification	Crops Base <input checked="" type="checkbox"/> Fruit and Vegetables <input type="checkbox"/> CoC	Aquaculture Base <input type="checkbox"/> Finfish <input type="checkbox"/> Molluscs <input type="checkbox"/> Crustaceans <input type="checkbox"/> CoC <input type="checkbox"/> FOS Add-On	Compound Feed Manufacturing <input type="checkbox"/> CFM	GLOBALG.A.P. Risk Assessment on Social Practice <input type="checkbox"/> GRASP
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*Explanation/Definition regarding GlobalG.A.P. Options:

- **Option 1 – Individual Certification:** individual producer applies for certification; individual producer will be the certificate holder once certified
- **Option 1 - Multisite Certification:**
 - **Without Implementation of a QMS:** individual producer or one organization owns several production locations or management units that do not function as separate legal entities
 - **With Implementation of a QMS:** individual producer or one organization owns several production locations or management units that do not function as separate legal entities, but where a QMS has been implemented
- **Option 2 – Group Certification:** a producer group applies for group certification; the group, as legal entity, will be the certificate holder once certified; a group must have a QMS implemented

Thanks to fill in the following Annex(es):

Option 1 Aquaculture: Annex 1
 Option 1 Fruit & Vegetables: Annex 2
 Information about PMU/PHU . Annex 3
 Option 1 Compound Feed Manufacturer: Annex 4
 Option 1 Chain of Custody: Annex 5
 Option 1 Multisite Certification: Annex 6
 Option 2 Group Certification: Annex 6

Required audit date	
Are you currently certified?	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes, to which standard? GGAP Fruits and vegetable V5.1 By whom? Intertek

Section 3: Audit duration (INTERTEK USE ONLY)

Standards & Version	Audit Duration		Comments (in case of reduction of the audit duration)
	On site	Audit report	
Proposed auditor			

Application for registration	<input type="checkbox"/> Unfeasible <input type="checkbox"/> Feasible
Date, name and Signature of CB	
GGN Number	
Registration number	

Auditor Confirmation (INTERTEK USE ONLY)

Inspection/Audit date	
Name of the inspector/auditor: <input type="checkbox"/> Inspector/Auditor – employee <input type="checkbox"/> Inspector/Auditor – subcontractor	
Date, name and signature CB	

Annex 1: Option 1 Individual Producer Certification Aquaculture

Company Name			
GFSI regocnized post-harvest scheme available (IFS/BRC/FSSC) ?		If yes, please indicate scheme and validity of certificate	

	Product (scientific name) For seedlings: ova or juvenile?	Parallel Production⁽¹⁾? Y / N	Parallel Ownership⁽²⁾? Y / N	Option (1 / 2)	Certification body used	Quantity of production (tons/year or estimated number of organisms for broodstock/seedlings)⁽³⁾	Countries of destination (when export)
1.							
2.							
3.							

	Please indicate GGN of the compound feed manufacturer(s) supplying compound feed and amount		Post harvest activity?⁽⁴⁾ Y / N If yes, please indicate estimated certified output annual quantity in t	Is broodstock additionally purchased? Y / N If yes, please indicate GGN of supplier and estimated number of organisms		Are seedlings (ova) additionally purchased? Y / N If yes, please indicate GGN of supplier and estimated number of organisms		Are seedlings (juvenile⁽⁵⁾) additionally purchased? Y / N If yes, please indicate GGN of supplier and estimated number of organisms	
	GGN	Amount (tons)		GGN	No. of organisms	GGN	No. of organisms	GGN	No. of organisms
1.									
2.									
3.									

⁽¹⁾ Parallel production: if a farmer produces the same product partly as certified and partly as non-certified. **If you answer yes, please fill in Annex 3.**

⁽²⁾ Parallel ownership: if a farmer buys non-certified products of the same products they grow under certified production. **If you answer yes, please fill in Annex 3.**

⁽³⁾ Annual Quantity of production (Tonnage to be registered in the database shall be for first audit, maximum estimated tonnage of live weight at point of harvest at the farm and for the second audit on, real tonnage of live weight at point of harvest at the farm for the previous 12 months)

⁽⁴⁾ Chemical treatments, trimming, washing, or other handling where the product may have physical contact with other materials or substances. **If you answer yes, please fill in Annex 3.**

⁽⁵⁾ Juvenile refers to: smolt, fingerling, alevin, nauplii, post-larvae, others

Please sign here:

We commit ourselves to following the requirements established in the General Regulations of the Scheme, including payment of the registration fee established by GlobalG.A.P. and the disclosure of information relative to the certification (see part 4.2 of the General Regulations, I). All documents are available on the website: www.globalgap.org

Date, Name and signature

Written by: I. RENZ

Verified and Approved by: H. BREINS

Annex 2: Option 1 Individual Producer Certification Fruit and Vegetables

Company Name	
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	Product (scientific name)	Parallel Production ^{(1)?} Y / N	Parallel Ownership ^{(2)?} Y / N	Subcontracted activities	Certification body used	Annual area under production (ha)	Countries of destination (when export)
1.	Tomatoes	N	Y	Transportation		0.1ha	Hongkong
2.							
3.							

	Covered Crop? Y / N	Harvest included? Y / N	Produce Handling? ⁽³⁾ Y / N	If produce handling is available: are products also packed for other GlobalG.A.P. certified producers? Y / N	Is produce handling done internally or subcontracted at another PHU? If subcontracted, please indicate GGN of PHU	Date of the FIRST harvest	Date of the FURTHER harvest of the same or a different crop (on the same area)
1.	Y	Y	Y	N	Internally	Mid June- August	
2.							
3.							

⁽¹⁾ Parallel Production: if a farmer produces the same product partly as certified and partly as non-certified. **If you answer yes, please fill in Annex 3.**

⁽²⁾ Parallel Ownership: if a farmer buy the same product as non certified which he is producing as certified. **If you answer yes, please fill in Annex 3.**

⁽³⁾ Chemical treatments, trimming, washing, or other handling where the product may have physical contact with other materials or substances. **If you answer yes, please fill in Annex 3.**

If there is more than one production site, please fill in the address data on Annex 3.

Please sign here:

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Date, Name and signature	★手書きのご署名と申請書作成日記載欄
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Written by: I. RENZ

Verified and Approved by: H. BREINS

Annex 3: Details of the Production Site/Produce Handling Unit (PHU) for certified products

Is this a Production Site? <input type="checkbox"/> No <input type="checkbox"/> Yes	Is this a Produce Handling Unit? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes		
Company Name	Intertek Certification Japan Farm Ltd.		
Produce handling facility name (if different)	Certified products unit factory		
Address (Street, Postal Code, City, Country)	Nihonbashi N bidg., 2nd Floor, 1-4-2 Horidome-cho Nihonbashi Chuo-ku Tokyo, 103-0012, Japan		
Name of contact (responsible for certification)	Hanako Yamada	Title: Ms	Roles: President
Address (Street, Postal Code, City, Country; if different from above)			
Further details	Phone: (81)03-3669-7420	Fax: (81)03-3669-7410	E-Mail: XXXXX@intertek.com
Legal Registration by country (Tax Number / VAT Number / Producer Number...)	111111123	Previous GGN (if available):	
GPS data	Lat 40° 75" 941' Long 135° 34" 8707'	Sub-GLN(s) (if available):	

Details of the Production Management Unit (PMU)/Produce Handling Unit (PHU) for non-certified products

Is this a Production Site? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes	Is this a Produce Handling Unit? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes		
Company Name	Intertek Certification Japan Farm Ltd.		
Produce handling facility name (if different)	Non certified products unit factory		
Address (Street, Postal Code, City, Country)	11 Horidome-cho Nihonbashi Chuo-ku Tokyo, 103-0012, Japan		
Name of contact (responsible for certification)	Hanako Yamada	Title: Ms	Roles: President
Address (Street, Postal Code, City, Country; if different from above)			
Further details	Phone: (81)03-3669-7420	Fax: (81)03-3669-7410	E-Mail: YYY@intertek.com
Legal Registration by country (Tax Number / VAT Number / Producer Number...)	111111123	Previous GGN (if available):	
GPS data	Lat 40° 75" 941' Long 135° 34" 8707'	Sub-GLN(s) (if available):	

Please sign here:

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Date, Name and signature	★手書きのご署名と申請書作成日記載欄
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Annex 4: Compound Feed Manufacturing

1. Production Unit Information (Production Unit of the Company)				
Name of Production Unit				
Address (Street, Postal Code, City, Country)				
Name of contact		Position:		
Further details		Phone:	Fax:	E-Mail:
Number of Production Lines (for GGAP certified products)				
Number of formulations per production line				
Number of products for different species per production line				
Legal registration number by country (e.g. tax number, VAT number, producer number etc.)				
Previous GGN (if available)				
GLN (if available)				
GPS data				
Subcontracted activities				
2. Product Information				
Product(s)	Annual Quantity of Production in metric tons	Country of Destination	Medicated Feed? Y / N	Certification Body used

Please sign here:

We commit ourselves to following the requirements established in the General Regulations of the Scheme, including payment of the registration fee established by GlobalG.A.P. and the disclosure of information relative to the certification (see part 4.2 of the General Regulations, I). All documents are available on the website: www.globalgap.org

Date, Name and signature	
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Annex 5: Chain of Custody Certification

Company Name			
GFSI recognized post-harvest scheme available (IFS/BRC/FSSC) ?		If yes, please indicate scheme and validity of certificate	

	Product (scientific name)	Product labeling done? Y / N	Certification body used	Quantity of production (outcome tons)	GGN of producers the product was bought from	Countries of destination (when export)
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						

Please sign here:

We commit ourselves to following the requirements established in the General Regulations of the Scheme, including payment of the registration fee established by GlobalG.A.P. and the disclosure of information relative to the certification (see part 4.2 of the General Regulations, I). All documents are available on the website: www.globalgap.org

Date, Name and signature	
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Annex 6: Group Certification

General Information	
Number of Producers within the Group	
Product scope of internal auditors/inspectors of producer group	
Name of internal auditors/inspectors	
Parallel Production available on group level?	If yes, please list the products for PP:
Parallel Ownership available on group level?	If yes, please list the products for PO:
Product Handling available on group level?	If yes, please list the products with product handling:

Please fill in all producer and product details in document F101-2-GGAP Annex 6 to GlobalG.A.P. Application for Audit.

We hereby declare that all producers of the producer group are separate legal entities and all of them have implemented the same QMS. We hereby declare that all producer and product details are mentioned correctly on F101-2-GGAP Annex 6 to GlobalG.A.P. Application for audit.	
We commit ourselves to following the requirements established in the General Regulations of the Scheme, including payment of the registration fee established by GlobalG.A.P. and the disclosure of information relative to the certification (see part 4.2 of the General Regulations, I). All documents are available on the website: www.globalgap.org	
Date, Name and signature	